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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: July 13, 2004 Name: Tadashi Horie Reg. No. 40,437 Signature: *Tadashi Horie*

BRINKS  
HOFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Toshio MIKIYA  
Keisuke SHIMADA  
Takashi CHIBA  
Munenori YAMAGUCHI  
Kenji OHTSUKA

Appln. No.: 10/659,419

Filed: September 10, 2003

For: ROTARY CUTTING APPARATUS

Attorney Docket No: 10210/8

Examiner: To Be Assigned

Art Unit: 3724

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450, Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Supplemental Information Disclosure Statement (in dup.), Copy of Search Report, Form PTO-1449, 3 Cited References (B2-4)
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

July 13, 2004  
Date

*Tadashi Horie*  
Tadashi Horie (Reg. No. 40,437)



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July 13, 2004

Date of Deposit

Tadashi Horie

Name of Applicant, Assignee or  
Registered Representative

Signature

July 13, 2004

Date of Signature

Our Case No.: **10210/8**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Toshio MIKIYA  
Keisuke SHIMADA  
Takashi CHIBA  
Munenori YAMAGUCHI  
Kenji OHTSUKA

Examiner: To Be Assigned

Group Art Unit No.: 3724

Serial No.: 10/659,419

Filing Date: September 10, 2003

For: ROTARY CUTTING APPARATUS

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendmnet  
Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the

documents listed on the attached Form PTO-1449 be considered by the Examiner and made of record. Pursuant to the waiver to Rule 37 CFR 1.98(a)(2)(i) to submit copies of U.S. issued and published applications in Information Disclosure Statements for patent applications filed after June 30, 2003, Applicants are not enclosing copies of reference B1. However, Applicants are enclosing copies of foreign reference B2-4.

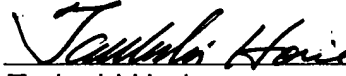
Prior art references B1-B4 were cited in an International Search Report issued in a counterpart PCT application. A copy of the Search Report is attached to this Statement. Prior art references B3 and B4 are in Japanese. For each of B3 and B4, Applicants are providing an English abstract.

In accordance with 37 C.F.R. § 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

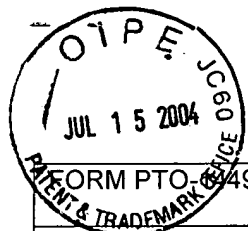
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Tadashi Horie", is written over a horizontal line.

Tadashi Horie  
Registration No. 40,437  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



FORM PTO-649 PATENT & TRADEMARK OFFICE	SERIAL NO. 10/659,419	CASE NO. 10210/8
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	FILING DATE September 10, 2003	GROUP ART UNIT 3724
(use several sheets if necessary)		APPLICANT(S): Toshio MIKIYA et al.

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1	5,025,548 A	06/25/1991	Justesen		
	B					
	B					
	B					
	B					
	B					

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	B2	EP 1 099 518 A2	05/16/2001	EPO		
	B3	H8-090324	04/09/1996	Japan		Abstract
	B4	H8-155712	06/18/1996	Japan		Abstract
	B					
	B					

EXAMINER  
INITIAL

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)

	B	
	B	
	B	
	B	
	B	
	B	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.